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|-------------------------------|--|
| Account No. 戶口號碼 | |
| Account Effective Date 戶口生效日期 | |
| Name of AE 客戶主任姓名 | |

(For office use only 只供本行使用)

- Individual Account 個人戶口
 Joint Account 聯名戶口
(Please use separate Client Information Statement for each joint account holder
每一聯名戶口持有人必須分別填寫一份客戶資料聲明)

CLIENT INFORMATION STATEMENT 客戶資料聲明

(please tick the appropriate box(es) 請在適當空格內加 / 號)

Account Type 戶口類別
Securities Trading 證券交易：
 Cash 現金戶口 Margin 孖展戶口 Electronic Trade 香港電子交易

Preferred Language of Communication 通訊選擇語言：
 English 中文

1. Personal Information 個人資料

| | | | |
|---|--|--|--------------------------|
| Name: Mr/Mrs/Miss/Ms* | Surname Given Name | | |
| 姓名： 先生/太太/小姐/女士* | 姓 名 | Nationality : 國籍： | |
| HKID/Passport No.: 香港身份證/護照號碼： | Date of Birth (dd/mm/yy): 出生日期(日日/月月/年年) | Marital Status: 婚姻狀況： | |
| Tel : 電話： | (Home) (住宅) | (Mobile) (流動電話) | (Office) (辦公室) |
| Residential Address: 住宅地址： | | | Postal Code 郵編 |
| Correspondence Address: 通訊地址： | If different from above (如與上址不同) | | Postal Code 郵編 |
| Daily Trade Confirmation (English only) | <input type="checkbox"/> E-mail: <input type="checkbox"/> Fax: | | |
| Mode of receiving Daily and Monthly Statements: 日結單及月結單收取方式： | <input type="checkbox"/> By Post 郵寄 | <input type="checkbox"/> By E-mail 電郵 | E-mail Address: 電郵地址： |

2. Employment Information & Financial Position 職業詳情及財政狀況 (as required by the SFC 證監會規定填寫)

| | | | | |
|--|---|---|--|---|
| Name of Employer: 僱主名稱： | If self-employed, please state 如自僱，謹請註明 | | | |
| | Occupation 職業： | | | |
| | Length of Service 服務年期： | | | |
| Business/Office Address: 營業/辦公室地址： | | | | Postal Code 郵編 |
| Status of Residential Address: 居住狀況： | <input type="checkbox"/> Self-Owned 自置 | <input type="checkbox"/> Rented 租住 | <input type="checkbox"/> Family 家人購買 | <input type="checkbox"/> Mortgaged 按揭 |
| Source of Income: 收入來源： | <input type="checkbox"/> Salary 薪金 | <input type="checkbox"/> Commission 佣金 | <input type="checkbox"/> Rent 租金 | <input type="checkbox"/> Dividend/Interest 股息/利息 |
| | <input type="checkbox"/> Business Profits 商業利潤 | | | |
| | <input type="checkbox"/> Others 其他 (please specify 請註明) | | | |
| Gross Annual Income (HK\$): 每年總收入 (港元): | <input type="checkbox"/> <=HK\$200,000 | <input type="checkbox"/> HK\$200,001-HK\$499,999 | <input type="checkbox"/> HK\$500,000-HK\$999,999 | <input type="checkbox"/> >=HK\$5,000,000 |
| | <input type="checkbox"/> HK\$1,000,000-HK\$1,999,999 | <input type="checkbox"/> HK\$2,000,000-HK\$4,999,999 | | |
| Asset Items: 資產項目： | <input type="checkbox"/> Landed Property 地產 | <input type="checkbox"/> Cash/Deposit 現金/存款 | <input type="checkbox"/> Listed Securities 上市證券 | <input type="checkbox"/> Vehicles 汽車 |
| | <input type="checkbox"/> Others (please specify): 其他 (請註明) | | | |
| Total Net Value (HK\$): 總淨值 (港元) | <input type="checkbox"/> <=HK\$200,000 | <input type="checkbox"/> HK\$200,001-HK\$499,999 | <input type="checkbox"/> HK\$500,000-HK\$999,999 | <input type="checkbox"/> >=HK\$5,000,000 |
| | <input type="checkbox"/> HK\$1,000,000-HK\$1,999,999 | <input type="checkbox"/> HK\$2,000,000- HK\$4,999,999 | | |

¹ Please delete as appropriate 請刪去不適用者

3. Investment Experience and Objective 投資經驗及目標 (as required by the SFC 證監會規定填寫)

Investment Experience 投資經驗：

| | Securities 證券 | Futures/Options 期貨/期權 | Foreign Exchange 外匯 | Bullion 貴金屬 | Others 其他 (please specify 請註明) |
|---|------------------|--------------------------|------------------------|----------------|-----------------------------------|
| Years of Experiences 投資經驗年資 | | | | | |
| Average Portfolio Value (HK\$) 平均組合價值 (港元) | | | | | |

Investment Objective 投資目標：

| | | | |
|--|---|--|--|
| <input type="checkbox"/> Trading for profits 為利潤而買賣 | <input type="checkbox"/> Long term capital appreciation 長遠資本增值 | <input type="checkbox"/> Dividend/interest income 股息/利息收入 | <input type="checkbox"/> Hedging 對沖 |
| <input type="checkbox"/> Others (please specify): 其他(請註明) | | | |

4. Client Declaration and Disclosure of Related Client(s) 客戶聲明及相關客戶之披露

- (1) Are you (i) a director or employee or accredited person of an exchange participant of the Stock Exchange of Hong Kong Limited ("Stock Exchange") or (ii) a licensed or registered person, or (iii) an employee of a licensed or registered person of the Securities and Futures Commission ("SFC")? (if yes, please state the name of exchange participant of the Stock Exchange or licensed or registered person of the SFC below. Please provide the written consent of your employer to your opening of account(s) with Bloomyeas and you agree that Bloomyeas may provide your employer with duplicate of your trade confirmations and/or statements of account upon its request)

閣下是否(i)香港聯合交易所有限公司(「聯交所」)之交易所參與者之董事或僱員或隸屬人士或(ii)證券及期貨事務監察委員會(「證監會」)之持牌人士或註冊人士或(iii)證監會之持牌人士或註冊人士的僱員? (如是, 請於下列註明聯交所交易所參與者或證監會持牌人士或註冊人士之姓名。請提供閣下僱主就閣下於昌萬年開立戶口之同意書, 而閣下同意昌萬年可應閣下僱主之要求向其提供閣下之交易確認書及/或戶口結單之複本):

Yes 是 No 否

- (2) Are you related to any employee or director or any other clients of Bloomyeas Limited or its subsidiaries, holding company or subsidiaries of the same holding company? (if yes, please state the name and your relationship with our director(s) and/or our other clients)

閣下是否與昌萬年有限公司或其附屬公司、控股公司或該控股公司之附屬公司之僱員或董事或其他客戶有關係? (如是, 請註明有關僱員/董事或客戶之姓名及與其之關係)

Yes 是 No 否 (if yes 如是) Name 姓名: Account No. 戶口 號碼: Relationship 關係

- (3) Do you and/or your spouse control 35% or above of the voting rights of another client of Bloomyeas Limited or its subsidiaries, holding company or subsidiaries of the same holding company?

閣下及/或閣下之配偶有否持控昌萬年有限公司或其附屬公司、控股公司或該控股公司之附屬公司之另一客戶 35%或以上之表決權?

Yes 是 No 否 (if yes 如是) Name of Account 戶口 姓名: Account No. 戶口 號碼:

5. Client's Other Information 客戶其他資料

- (1) Ultimate Client 最終客戶

Are you the ultimate beneficial owner(s) of the account (i.e. the person or entity (legal or otherwise) ultimately responsible for originating the instruction in relation to transaction^A); and that stands to gain the commercial or economic benefit of the transaction and/or bear its commercial or economic risk)? (as required by the SFC's Client Identity Rules)

閣下是否戶口的最終實益擁有人(即最終為所發出與交易有關的指示負責、獲取交易在商業或經濟上的利益及/或承擔其商業或經濟上的風險之人士 或實體(法律上或其他方面 (此乃證監會之客戶身份規則所需之資料))

Yes 是 No 否

(If no, please specify below the full details of the beneficial owner(s) 如否, 請註明實益擁有人之詳細資料)

Full Name 全名: _____

HKID/Passport No. 香港身份證/護照號碼: _____ Contact Tel No. 聯絡電話號碼: _____

Residential Address 住宅地址: _____

Name of Employer/Company 僱主/公司名稱: _____

Address of Employer/Company 僱主/公司地址: _____

- (2) Bank Details 銀行資料

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|------------------------------|---|
| Bank Name and Branch 銀行名稱及分行 | Type of Account and Bank Account No. 戶口種類及銀行戶口 號碼 |
| | |

